



Accessing Adaptation Finance (for National and Regional Entities)

Regional NAP Expo for Asia and the Pacific, 29 August 2023, Songdo

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ADAPTATION FUND

Adaptation Fund at a glance

Established in 2001 and operationalized in 2007 under the Kyoto Protocol & since 2019 serving the Paris Agreement

Over US\$ 1 billion allocated to 155 concrete, localized adaptation projects

Pioneered Direct Access modality with majority of Implementing Entities being NIEs

All developing countries that are Parties to the KP or PA are eligible to access funding

Country-driven and simplified access processes



Tangible results on the ground

IMPACT 1:

Reduction in vulnerability of communities and increased adaptive capacity of communities to respond to the impacts of climate change



10.65 million

DIRECT BENEFICIARIES

21.17 million

INDIRECT BENEFICIARIES

Number of direct beneficiaries is a conservative estimate, as it is not consistently reported (i.e. some projects report as no. of households)



516

EARLY WARNING SYSTEMS

Includes projects targeting several small scale EWS at the local level as well as those targeting one large regional system

IMPACT 2:

Strengthened policies that integrate climate resilience strategies into local and national plans



99

POLICIES INTRODUCED OR ADJUSTED TO ADDRESS

CLIMATE CHANGE RISKS

Includes any policy whether at the local, regional or national level

IMPACT 3:

Increased ecosystem resilience in response to climate change induced stresses



575,699 ha

HA OF NATURAL HABITATS CREATED, PROTECTED OR REHABILITATED RESTORED



162,275 m

M OF COASTLINE PROTECTED

Latest Updates & Developments: Strategy

- Board adopted an ambitious Implementation Plan for the **second Medium-Term Strategy for 2023 – 2027** with new focus on **Locally Led Adaptation** and **Scaling up successful projects**
- Board adopted a **Resource Mobilization Target for 2023**
- Board further streamlined and simplified access **procedures** to process increasing demand in active pipeline of project proposals
 - Active pipeline: Record amount of **US\$ 438 million**
- Innovation: **Expansion of AF Climate Innovation Accelerator (AFCIA)** to 4 new implementing partners: **access to small grants for non-accredited entities**



Medium-Term Strategy from 2023 to 2027: Mandate – Mission – Strategic Priorities

MANDATE

Finance concrete adaptation projects and programmes that are country driven and based on the needs, views and priorities of developing country Parties that are particularly vulnerable to the adverse effects of climate change in meeting the cost of adaptation

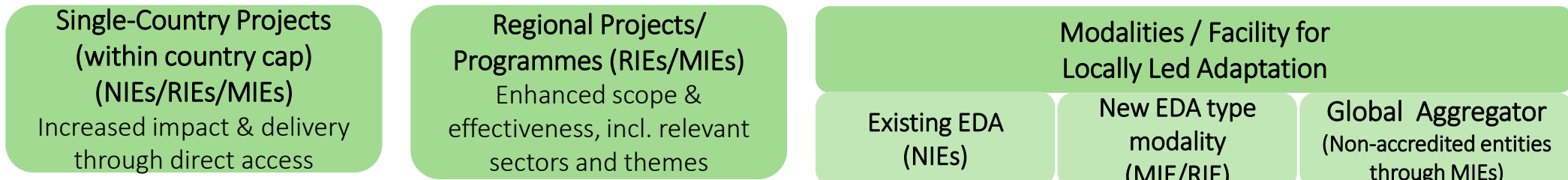
MISSION

The Adaptation Fund serves the Paris Agreement by **accelerating effective adaptation action and efficient access to finance, including through direct access**, to respond to the urgent needs and priorities of developing countries. The Fund does so by supporting country-driven adaptation projects and programmes, innovation, and learning with concrete results at the local level that can be scaled up.

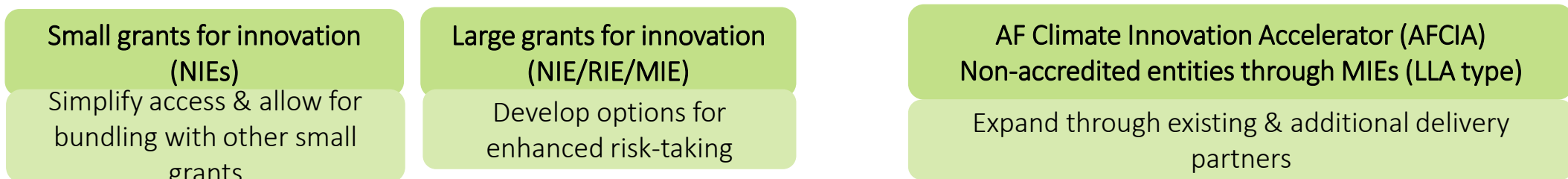


MTS-2 IP Delivery Methods & Windows

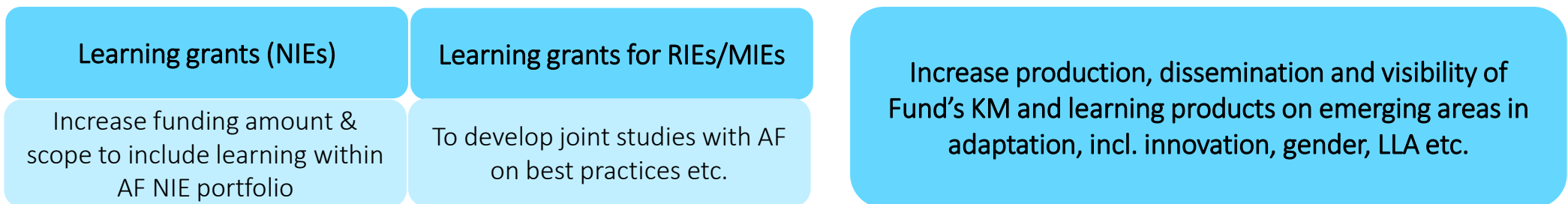
ACTION



INNOVATION



LEARNING & SHARING



ENHANCED READINESS PROGRAMME

Incl. Readiness Package Grants, TA Grants for ESP & GP, Project scale up grants

At a glance: what is available for eligible Parties



Concrete adaptation activities in the most vulnerable communities

visible and tangible impacts at the local level that can be scaled up



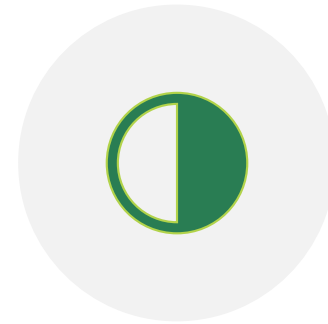
No prescribed sectors or approaches

country-driven process that accommodates countries' priorities in line with their NAPs and NDCs



Finance the full cost of adaptation

No co-financing requirements



50% of portfolio for Direct Access

Total allocation for projects/ programmes submitted by MIEs cannot exceed 50% of resources



USD 20 million available per country & 2 NIEs per country

For single country projects, other grants available outside of country cap



Project sectors and themes (Country-driven)



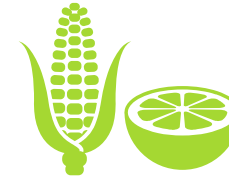
- Disaster Risk Reduction



- Nature-based solutions



- Coastal management



- Agriculture



- Water resources Management



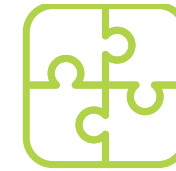
- Forests and Land Use



- Rural development



- Food Security



- Social innovation



- Urban adaptation



- Advancement of gender equality



- Enhancement of cultural heritage



- Focus on communities



- Inclusion of youth



- Innovative adaptation financing



AF portfolio – 1/3 implemented by NIEs (June 2023)

Multi
2 projects
\$10 Million



Latin America & Caribbean
32 projects
\$271.05 Million



Eastern Europe
6 projects
\$ 29.84 Million



Africa
53 projects
\$419.44 Million



Asia-Pacific
54 projects
\$322.82 Million

TOTAL
155 projects
\$1.05 billion (as of June 2023) (not including Project formulation grants, readiness grants, innovation or EDA grants)



AF Designated Authorities / Focal Points

Responsible for the endorsement on behalf of the national government of:

- a) **Accreditation applications of up to two National Implementing Entities (NIEs)** submitted by national entities;
 - b) **Accreditation applications as Regional Implementing Entities (RIEs)** submitted by regional or sub-regional entities; and
 - c) **Projects and programmes proposed by the implementing entities**, either national, regional, subregional, or multilateral.
- The Designated Authority shall confirm **that the endorsed project/programme proposal is in accordance with the government's national or regional priorities in implementing adaptation activities**
- This includes whether the project is aligned with the country's national adaptation planning processes, including NAPs!**

All Designated Authorities and relevant contacts are listed on the AF website:

<https://www.adaptation-fund.org/apply-funding/designated-authorities/>



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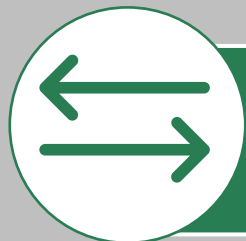
Accreditation



55 IEs accredited to date: 32 NIEs, 9 RIEs, and 14 MIEs
(10 NIEs in LDCs & 7 NIEs in SIDS)



Streamlined accreditation available for smaller entities



Fast-track (re) accreditation available for GCF Accredited Entities



The AF encourages countries to make use of the **Direct Access Modality**, promotes country ownership and builds national capacities



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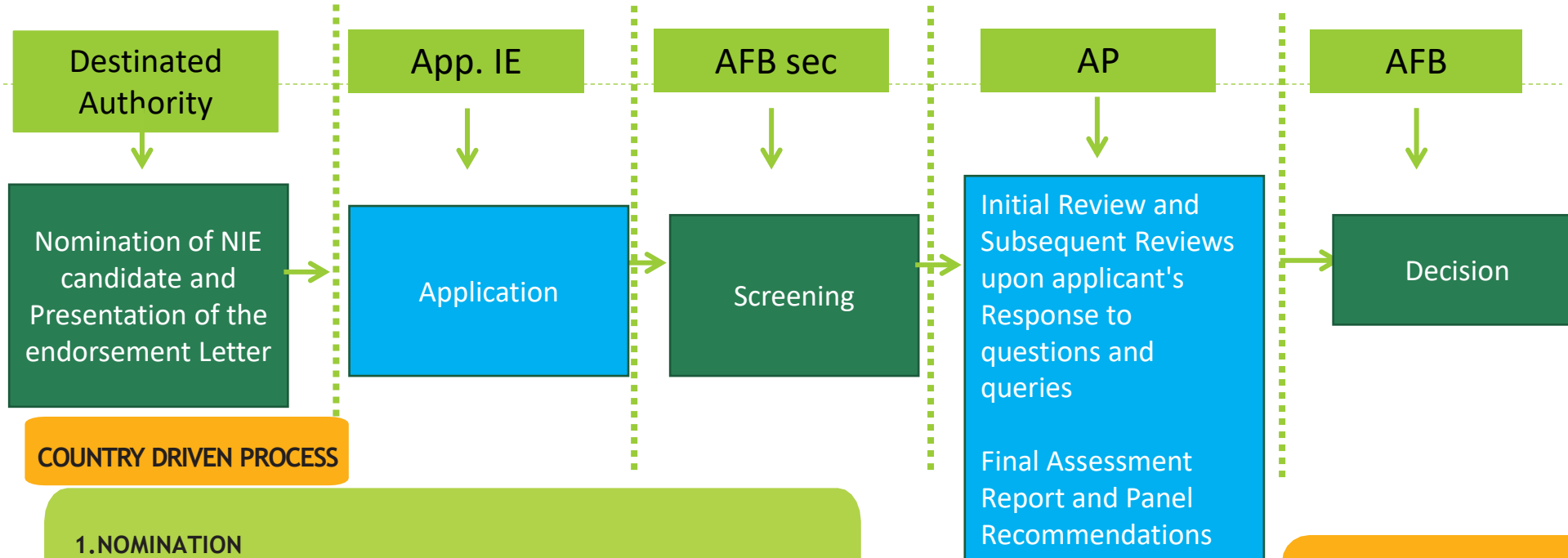




Accreditation Process & Parties involved

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Accreditation through the Adaptation Fund



COUNTRY DRIVEN PROCESS

1. NOMINATION

An entity that meets AF's accreditation standards is identified and nominated by a Designated Authority:

- **NIEs:** Must be nominated by their respective governments prior to submitting an application for accreditation
- **RIEs:** Must receive a letter of support from at least two of the countries in which they operate
- **MIEs:** Invited by the Board to apply for accreditation and do not require an endorsement letter to submit an application.

2. APPLICATION

Send an email to afaccreditation@adaptation-fund.org with subject heading: Accreditation application.

Eligible countries can accredit up to two NIEs.

Available readiness & capacity-building support

Pre-accreditation

Readiness package grants

Up to US\$ 150,000 for developing countries seeking NIE accreditation

Post-accreditation

Technical Assistance grants for ESP & Gender Policy

up to US\$ 25,000 & US\$ 10,000

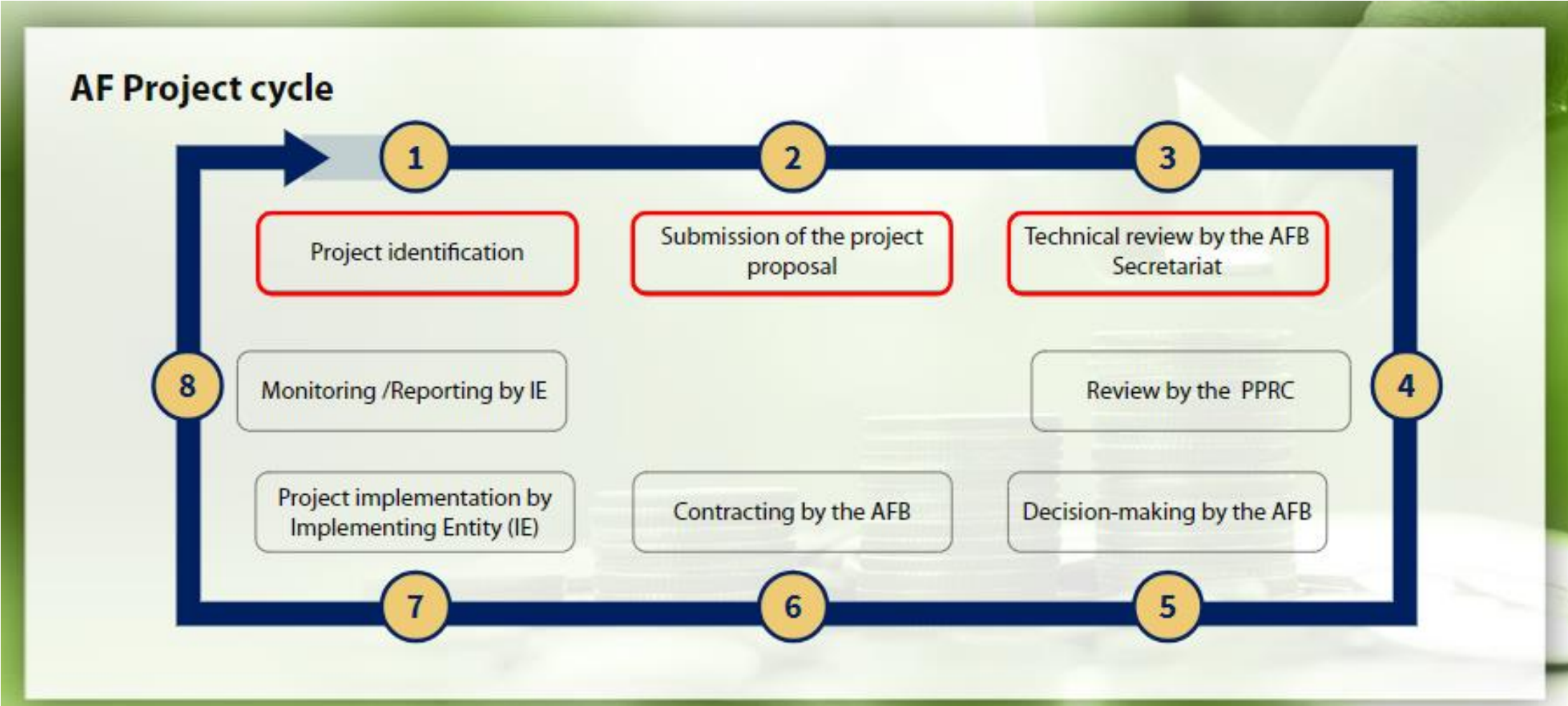
Project development

Project formulation grants

up to US\$ 50,000



AF Project Approval Process



New & Simplified Proposal Submission Process



Implementing Entities (IEs) are invited to submit project proposals and concepts **on a rolling basis** for all funding windows



To submit a proposal, the IE should submit to the AFB secretariat the relevant **proposal template & a valid Letter of Endorsement** signed by the DA, with the DA copied



AFB secretariat will deliver a **first technical review within 3 weeks** of receiving a proposal, except during the “review intermission” period (shortly before and during the Board meeting) & **IEs have up to 3 weeks to resubmit a revised proposal** after having received the technical review



The **AF Board considers proposals and concepts** that are technically cleared during its biannual Board meetings in March/April & October of each year.

Project proposal templates and guidance documents are available under:

<https://www.adaptation-fund.org/apply-funding/project-funding/project-proposal-materials/>



Available funding windows

ACTION	INNOVATION	LEARNING & SHARING
<p>Single country projects (<\$10M) within cap of \$20M per country <i>NIEs, RIEs, MIEs</i> & Project Formulation Grants: (<\$50,000) <i>for NIE only</i></p>	<p>Small grants (<US\$250 k) to foster innovation practices in climate change adaptation (<i>NIEs</i>)</p>	<p>Learning grants (<US\$150 K) to share best practices and lessons learned (<i>NIEs</i>)</p>
<p>Regional projects (multi-country): <up to \$14M (<i>RIEs, MIEs</i>) & Project Formulation Grants</p>	<p>Small grants (<\$ 250k) in innovation for non-accredited entities (<i>AF Climate Innovation Accelerator through MIEs</i>)</p>	
<p>Enhanced Direct Access (EDA): <US\$ 5 M per country (<i>NIE</i>)</p>	<p>Large grants (<US\$5 M) to roll out innovative adaptation practices and tools <i>Single country (NIE, RIE, MIE) or regional (RIE, MIE)</i></p>	
<p>Project scale-up grants < US\$100 k per project (<i>NIE</i>)</p>		

LOCALLY-LED ADAPTATION ACROSS FUNDING WINDOWS

ACTION



An innovative AF project is building resilience in vulnerable Colombian communities, and has been scaled up to achieve transformative impacts. *(Photo by UNDP)*

DIRECT ACCESS



AF has pioneered 'Enhanced Direct Access' to empower local farmers in South Africa with its NIE, SANBI. *(Photo by SANBI)*



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LOCALLY-LED ADAPTATION ACROSS FUNDING WINDOWS



ENHANCED DIRECT ACCESS

Farmers at indigenous territory of Talamanca-Valle de la Estrella in Costa Rica restore a greenhouse to diversify production that conserves land and resources. The project won the international INNOVAGRO 2019 award for Social Innovation. *(Photo by Silvia Camareno)*



INNOVATION

AGCID in Chile is innovating new approaches to water management, including establishing emergency water access stations during drought through an AF innovation grant. *(Photo by Violeta Leiva Milanca)*



Mother & daughter harvesting taro in AF direct access 'PEARL' project in Cook Islands that installed culverts to protect crops from floods. *(Photo by Mel Tuiravakai)*

“Akamatutu’anga kia Tukatau te Ora’anga ite Pa Enuu” Pa Enuu Action for Resilient Livelihoods (PEARL) – Cook Islands

Sector:

Multi-sector

Grant Amount:

USD 2,999,125

Implementing Entity:

Ministry of Finance and Economic Management

Executing Entity:

Climate Change Cook Islands

Start Date:

12/06/2018

Completion Date:

06/06/2022



Objective: Build and implement an integrated approach to further increase the adaptive capacity of remote island communities and ecosystems to disaster risk and climate change impacts.

Component 1: Strengthening disaster risk governance to manage disaster risk and enhancing disaster preparedness for effective response to “Build Back Better” in recovery, rehabilitation and reconstruction;

Component 2: Integrated Water Security Management Planning and Implementation;

Component 3: Revitalised agricultural production systems strengthening island food sources and livelihoods in the Pa Enuu.

Direct Access in India – NABARD ‘Creating Diverse and Tailored Models Across the Country’

- India is taking an innovative approach to combatting climate change by implementing **six small-scale Adaptation Fund projects** on the ground in **diverse regions of the country** (from the northwestern Himalayas, to the central Madhya Pradesh region, to the eastern region, Rajasthan in the west and the eastern and southern coasts) **across a variety of adaptation sectors** (which are tailored to **the local adaptation needs**, from *climate-smart agriculture to food security, fisheries, forestry, managing coastal zones, and collecting and conserving water*)
- AF NIE in India, the National Bank for Agriculture and Rural Development (NABARD) are implementing these six projects together with local organizations.
- Rather than pursuing just one or two larger projects with the overall funding allocated by AF, NABARD’s unique approach is breaking ground in adaptation **by piloting diverse models and establishing the needed networks and experiences to share knowledge to make wider change across the large country.**

BY THE NUMBERS

TOTAL DIRECT BENEFICIARIES: 74,461
TOTAL INDIRECT BENEFICIARIES: 100,194

ANDHRA PRADESH:

35,000
HA OF AGRICULTURE
LAND IN COASTAL
VILLAGES AT HIGH RISK TO
BECOME SALINE DUE TO
SEA LEVEL RISE

AT
LEAST **60%**
OF PEOPLE (NEARLY 50%
WOMEN) LIVING IN PROJECT
VILLAGES DIRECTLY
BENEFITING FROM
REDUCED VULNERABILITY TO
CLIMATE CHANGE

200

COMMUNITY MEMBERS TRAINED IN
MANGROVE RESTORATION AND 50
FARMERS (40% WOMEN) TRAINED IN
FISH FARMING

50

HA DEVELOPED INTO
FISH FARMS WITH 600
MANGROVES PER HA

4

PUBLISHED MATERIALS ON
WAYS TO SCALE UP COASTAL
PROTECTION AND
LIVELIHOOD SYSTEMS

320,000

MANGROVE SAPLINGS PLANTED
ACROSS 200 HA FOR DEVELOPING
MANGROVE NURSERIES

WEST BENGAL:

40

STEP PONDS
ESTABLISHED

40

WEATHER KIOSKS AND 18 AUTOMATED
WEATHER STATIONS ESTABLISHED TO
IMPROVE CROP WEATHER ADVISORIES

40,300

HA OF EARTHWORKS FOR SOIL AND
WATER CONSERVATION

2,500

LOW-COST WATER FILTERS PROVIDED FOR
FAMILIES, AND 2,400 ENERGY EFFICIENT OVENS

MADHYA PRADESH:

5,000

FARMERS RECEIVING CLIMATE-
RESILIENT TOOLS TO FOSTER
ORGANIC FARMING

896

GENDER-FOCUSED
CLIMATE RESILIENCE
TRAININGS EMPOWERING
WOMEN IN 56 VILLAGES

1,800

HA OF WATERSHED IMPROVED WITH
EFFICIENT IRRIGATION SYSTEMS

NORTHWESTERN HIMALAYAS:

800

FARMING HOUSEHOLDS RECEIVING
IMPROVED AGRICULTURAL DATA,
DEWORMING AND VACCINATIONS TO MAKE
CATTLE CLIMATE-RESILIENT

TAMIL NADU AND RAJASTHAN:

GROWING SHORT DURATION CROPS THAT MATURE IN JUST
60-70 DAYS TO HELP VULNERABLE FARMERS ADAPT TO
LATE SOWING CONDITIONS



A

Adaptation to Climate-Induced Water Stresses through Integrated Landscape Management in Bhutan



Sector:

Multi-sector

Grant Amount:

USD 9,998,955

Transferred Amount:

USD 3,605,597

Implementing Entity:

Bhutan Trust Fund for Environmental Conservation

Executing Entity:

Department of Agriculture and Department of Forests and Park Services, Ministry of Agriculture and Forests, Department of Engineering Services, Ministry of Works and Human Settlement, Ministry of Finance, Department of Local Governance, Ministry of Home and Cultural Affairs

Approval Date:

10/14/2022

Duration:

5 years

The project proposes an articulated approach that will lead to healthier ecosystems and improved associated services, better management of water use for human activities, improved information systems and decision-making, and linking with the most relevant level of governance. Issues related to water availability and use all along the watershed, the various components of sustainability (including at technical, financial and institutional level), and the participation of the beneficiaries are key aspects of the project.

The project will focus on local level adaptation, increasing potential benefits for exposed or sensitive groups, and act as a force for change towards the foreseen improvements at different levels. The project aims to benefit 36,464 people directly and rehabilitate 21,251 hectares of natural habitats.



Women beneficiaries of Self-Help Group (SHG) nurturing the summer crops in a float-farm in Amtoli village in the Sundarbans region of southern India and parts of Bangladesh. (Photo: South Asian Forum for Environment).

- **Direct innovation funding to non- accredited entities** – indigenous people’s groups, civil society organizations, associations, institutions, businesses, agencies, NGOs, women, youth and other vulnerable groups.



Floating Farms, grant administered by UNDP

- Run by the **South Asian nature Forum for Environment (SAFE)**
- **12 local villages** and **2,200 households**
- **Hydroponic technology** to grow a variety of **seasonal crops** in grow bags, fed by **solar power** and a **small irrigation unit** which **removes saltwater** from the process
- **Designed to work alongside fish and crab farming** and **support the restoration of embankments** in flooded area
- **Farmers can make the floats themselves** which are **multi-purpose**



Adaptation Fund Funding Windows





	FUNDING TYPE	Accredited Entity Type	Maximum Funding Amount per Project/Program	How to Apply	Learning Resources
 ACTION Action grants support eligible countries to undertake high quality adaptation projects/programmes consistent with their priority needs, goals and strategies.	Single Country: For addressing climate change impacts in one country through tangible outcomes	NIE, RIE, MIE	USD 10 Million per Project/Programme*	Apply here for grant funding or technical assistance	E-course: Direct Access: Unlocking Adaptation Funding & Community of Practice for Direct Access Entities.
	Regional: For addressing climate change impacts in 2+ countries in the same United Nations region, or adjacent regions, through tangible outcomes	RIE, MIE	USD 14 Million per Project/Programme (excluding the PFG)**	Apply here for grant funding or technical assistance	E-course: Direct Access: Unlocking Adaptation Funding
	Enhanced Direct Access: Supports bottom-up approaches through local knowledge and locally led action. Project selection occurs at national/sub-national levels.	NIE	USD 5 Million per Project/Programme (including the PFG)	Apply here for grant funding or technical assistance	EDA guidance document
	Project Scale Up: Supports planning, design and overall capacity to develop scale-up pathways for AF funded projects nearing completion or already completed	NIE	USD 100,000 per Project/Programme	Apply here	E-training: Scale-Up Grants
 INNOVATION Innovation grants support the development and diffusion of innovative adaptation practices, tools, and technologies.	Small (single country): To accelerate development of innovative practices, tools and technologies and demonstrate best practices for scale-up	NIE	USD 250,000 per Project/Programme	Apply here	E-training: Innovation Small Grants
	Large (single country or regional): To roll out or scale-up successful innovative practices, tools and technologies to a new country or at regional scale, involving 2+ countries/regions	NIE, MIE, RIE***	USD 5 Million per Project/Programme	Apply here	Innovation large grants guidance documents available here
	Adaptation Fund Climate Innovation Accelerator: Administered by UNDP & UNEP/CTCN to accelerate the development of innovative practices, tools and technologies and demonstrate best practices for scale-up	Non-accredited****	USD 250,000 per Project/Programme	Apply here for grant funding	UNDP/UNEP/CTCN





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Adaptation Fund Funding Windows

 LEARNING & SHARING	Learning Grants: support the generation and dissemination of practical knowledge about effective adaptation activities and financing modalities to actors around the world	NIE	USD 150,000 per Project/Programme	Apply here for grant funding	E-training: Learning Grants
 READINESS Readiness grants enable NIEs to provide peer support to countries seeking accreditation with the Fund and build capacity for undertaking various climate finance readiness activities.	Readiness Support Package Grant: Facilitate the delivery of more enhanced, targeted and tailored readiness support for accreditation to developing countries	NIE	USD 150,000 per NIE	Apply here	Website: Readiness
	Technical Assistance Grant for the Environmental and Social Policy and Gender Policy: For NIEs to strengthen capacity to address and environmental and social risks as well as gender related issues in their projects and programs	NIE	USD 25,000 per NIE	Apply here	E-course: Direct Access: Unlocking Adaptation Funding
	Technical Assistance Grant for the Gender Policy: For NIEs with robust environmental and social policies to enhance measures to avoid, minimize and/or mitigate adverse gender impacts	NIE	USD 10,000 per NIE	Apply here	E-course: Direct Access: Unlocking Adaptation Funding

Notes:

*NIEs applying for single country projects through 2-step submission process (concept note; fully-developed proposal) with concept note can request a [Project Formulation Grant](#) (PFG) up to USD 50,000 in addition to the USD 10 million grant.

**RIEs and MIEs applying for regional projects (2+ countries) through the 3-step submission process (pre-concept note; concept note; fully-developed proposal) can request a PFG up to USD 20,000 with a pre-concept note and with a concept note a PFG up to USD 80,000. Those using the 2-step submission process (concept note; fully-developed proposal) can ask for PFG up to USD 100,000 with the concept note.

***For NIEs submitting proposals for large innovation grants, there is an opportunity to request a PFG of up to USD 50,000 per project. For a project for which PFG funding is sought, the total amount of funding inclusive of PFG cannot exceed USD 5 million. RIEs and MIEs submitting concept notes for large innovation grants for regional projects can request a PFG of up to USD 30,000. All IEs can apply to single country innovation large grants and MIE and RIEs only can apply to regional innovation large grants

****Open to wide range of applicants, including local governments, NGOs, young entrepreneurs, the private sector and others.



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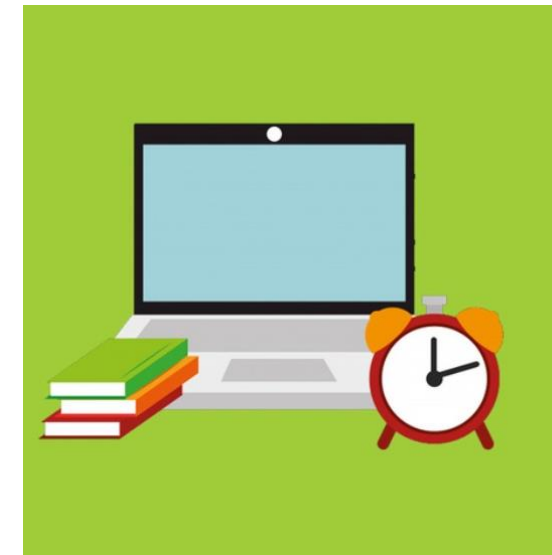


E-Learning Courses on Accessing Adaptation Finance

<https://www.adaptation-fund.org/knowledge-learning/knowledge-products/af-produced-knowledge-products/?type=e-courses>

- Direct Access: Unlocking Adaptation Funding
- Adaptation Fund Innovation Small Grants Training
- Adaptation Scale up Grants Training
- Adaptation Fund Learning Grants Training
- Adaptation Fund Enhanced Direct Access (EDA) Grants Training

All E-Learning courses are available in English, Spanish and French



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A woman with dark hair tied back, wearing a blue long-sleeved shirt, is smiling and looking towards the camera. She is holding a large green leafy plant. The background is a lush green field with many similar plants.

Thank you!

Young Hee Lee



www.adaptation-fund.org



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